



P/3879-12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of

FORAY et al.

Serial No.: 09/603,515

Filing Date: June 23, 2000

New York, New York

Date: December 4, 2001

Group Art Unit: 2766

Examiner: --

For: **ANONYMOUS TRADING SYSTEM**

Asst. Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Please correct the Official Filing Receipt as follows:

The filing date should read -- 06/23/2000 -- instead of "01/25/200". Our PTO date-stamped postcard showing the correct filing date is attached hereto.

Please change our reference number to: P/3879-12.

In accordance with the attached Petition Under 37 C.F.R. §1.48, please remove the last four inventors from the inventor listing.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on December 4, 2001.

Respectfully submitted,

Steven I. Weisburd
Name of applicant, assignee or
Registered Representative

Signature

December 4, 2001
Date of Signature

SIW:sks

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/603,515	04/25/2001 06/23/00	2766	1388	P/9991-199 P/3879-12	1	24	4

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CONFIRMATION NO. 9913

UPDATED FILING RECEIPT



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Date Mailed: 05/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/15/2000

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Early Publication Request: No

Title

Anonymous trading system

Preliminary Class

705



Serial No. N/A Filing Date Herewith OFGS File No. P/331-139
Title ANONYMOUS TRADING SYSTEM
First Inventor Andrew Faray et al Date 6-23-00
The PTO has received: Last Due Date: _____

- ☒ Patent Application of 22
Pages (including claims & abstract)
☒ Declaration or ☐ Designation Sheet
☒ Drawings 1 Sheet(s)/Figs. 1 to _____
☐ Priority Document
☐ Small Entity Declaration
☐ Assignment & Conveyance Cover Sheet
☐ Information Disclosure Statement
☐ PTO-1449

- ☒ Amendment (Preliminary)
☐ Affidavit or Declaration
☐ _____

- ☐ TM Application ☐ ITU Basis
☐ Statement of Use
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